

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Siddhartha Nandi, Abhay Kumar Singh, Oleg Kiselev

Assignee: VERITAS Operating Corporation

Title: System And Method For Dynamically Loadable Storage Device  
I/O Policy Modules

Serial No.: Unassigned Filing Date: Herewith

Examiner: Unassigned Group Art Unit: Unassigned

Docket No.: VRT0091US

Austin, Texas  
November 19, 2003

MAIL STOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Pursuant to 1276 OG 55, wherein the USPTO waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, no copies of U.S. patents or U.S. patent applications are enclosed. Copies of any listed foreign patent documents, pending non-published U.S. patent applications, and non-patent literature are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

**EXPRESS MAIL NUMBER:**

**EV 304737050 US**

Respectfully submitted,



Marc R. Ascolese  
Attorney for Applicant(s)  
Reg. No. 42,268

| U.S. Department of Commerce, Patent and Trademark Office  |    |  |                 |                     | Atty Docket No.         | Serial No. |                            |    |
|---|----|--|-----------------|---------------------|-------------------------|------------|----------------------------|----|
|   |    |  |                 |                     | VRT0091US               | Unassigned |                            |    |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT   |    |  |                 |                     | Applicant(s)            |            |                            |    |
| (Use several sheets if necessary)   |    |  |                 |                     | Siddhartha Nandi et al. |            |                            |    |
|   |    |  |                 |                     | Filing Date             | Group      |                            |    |
|   |    |  |                 |                     | Herewith                | Unassigned |                            |    |
| U.S. Patent Documents   |    |  |                 |                     |                         |            |                            |    |
| *Examiner Initial   |    | Document Number  | Date            | Name                | Class                   | Subclass   | Filing Date If Appropriate |    |
|   | AA | 4,396,984  | Aug. 2, 1983    | Videki, II          | 710                     | 38         |                            |    |
|   | AB | 6,622,300  | Sep. 16, 2003   | Krishnaswamy et al. | 717                     | 130        |                            |    |
|   | AC |  |                 |                     |                         |            |                            |    |
|   | AD |  |                 |                     |                         |            |                            |    |
|   | AE |  |                 |                     |                         |            |                            |    |
|   | AF |  |                 |                     |                         |            |                            |    |
|   | AG |  |                 |                     |                         |            |                            |    |
|   | AH |  |                 |                     |                         |            |                            |    |
|   | AI |  |                 |                     |                         |            |                            |    |
|   | AJ |  |                 |                     |                         |            |                            |    |
|   | AK |  |                 |                     |                         |            |                            |    |
| Foreign Patent Documents  |    |  |                 |                     |                         |            |                            |    |
|   |    |  |                 |                     |                         |            | Translation                |    |
|   |    | Document   | Date            | Country             | Class                   | Subclass   | Yes                        | No |
|   | AL |  |                 |                     |                         |            |                            |    |
|   | AM |  |                 |                     |                         |            |                            |    |
|   | AN |  |                 |                     |                         |            |                            |    |
|   | AO |  |                 |                     |                         |            |                            |    |
|   | AP |  |                 |                     |                         |            |                            |    |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |    |  |                 |                     |                         |            |                            |    |
|   | AQ | "Highly Available Storage: Multipathing and the Microsoft MPIO Driver Architecture," White Paper, Microsoft® Windows Server System; Published October 2003, pages 1-14.  |                 |                     |                         |            |                            |    |
|   | AR | "Fault Tolerant Storage Multipathing and Clustering Solutions for Open Systems for the IBM ESS," IBM International Technical Support Organization, First Edition (April 2002), pages 1-238, <b>Emphasis on Chapters 1-3, pages 1-43.</b> |                 |                     |                         |            |                            |    |
|   | AS |  |                 |                     |                         |            |                            |    |
| Examiner  |    |  | Date Considered |                     |                         |            |                            |    |
| <p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p> |    |  |                 |                     |                         |            |                            |    |